



**State of Illinois**

State Internal Audit Advisory Board

**SIAAB 2016 Fall  
Government  
Auditing  
Conference**

October 25-27, 2016

Northfield Inn, Suites &  
Conference Center  
Springfield, IL

Co-Sponsored by the Springfield  
Chapter of The Institute of  
Internal Auditors



# State of Illinois

## State Internal Audit Advisory Board

2016 Fall Government Auditing Conference  
October 25-27, 2016

The State Internal Audit Advisory Board (SIAAB) is proud to once again co-sponsor this year's Fall Government Auditing Conference with the Springfield Chapter of the Institute of Internal Auditors.

Part of the SIAAB's mission is to serve as a clearinghouse for the correlation of internal audit training needs among the State's internal audit agencies. This year's conference offers a wide variety of topics, including breakout sessions where peers can exchange ideas and information. We hope that the range of topics offered at this conference will be beneficial to all participants and their agencies.

Please take this opportunity to join us in Springfield for our annual Fall Government Auditing Conference. For only \$95.00, you can earn up to 17.0 hours of CPE, plus an optional half-day Springfield IIA Chapter session for \$40.00 (Member fee) for an additional 4.0 hours of CPE. I would also like to invite you to learn more about the SIAAB by exploring our Web site at: <http://siaab.audits.uillinois.edu>.

Tracy K. Allen, CPA, CISA  
Chief Internal Auditor  
Illinois Office of the Comptroller

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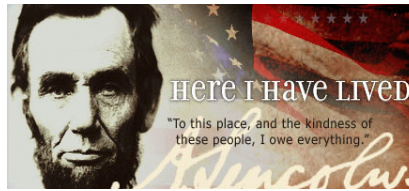
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## THINGS TO DO IN SPRINGFIELD

- Abraham Lincoln Presidential Museum & Library
- Dana Thomas House
- Lincoln's Home, National Historic Site
- Lincoln's Tomb
- Illinois State Capitol
- Henson Robinson Zoo
- White Oaks Mall

And much more!



Venture out and explore the Land of Lincoln!

Springfield is bursting with things to see and do. Whether you are looking for something upbeat or

low key, Springfield has it all. The opportunities are endless!

Springfield is also known for its unique dining and locally owned restaurants. From the city's original horseshoe sandwich to Caribbean cuisine, Springfield's restaurants offer an eclectic selection to pamper any palate.

Additional information about the many attractions in and around Springfield can be found at <http://www.visit-springfieldillinois.com>.

## HOTEL ACCOMMODATIONS

A block of rooms is being held at the *Northfield Inn, Suites & Conference Center*, Springfield, Illinois, for the nights of October 25, 26, and 27, 2016.

The *Northfield Inn* is located at:  
**3280 Northfield Drive**  
**Springfield, IL 62702**



Reservations are to be made directly with the *Northfield Inn* by calling **217-523-7900**. The

block of rooms is reserved under the name of **The Institute of Internal Auditors** at the State rate of \$70.00 (plus tax). **The block of rooms will be released on October 15, 2016**. After the cutoff date, there is no guarantee that rooms under this block or at State rates will be available.

Additional information about the Northfield Inn can be found at <http://www.northfieldinn.com>.

## 2016 SIAAB Fall Government Auditing Conference

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The Institute of Internal Auditors

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### DATE

Tuesday, October 25, 2016, thru Thursday, October 27, 2016

### FIELD OF STUDY

Federal / State Governmental Accounting and Auditing

### COURSE OBJECTIVE

Increase professional accounting and auditing skills pertaining to:

- Conducting General Security Assessments and How to Secure Your Facility Against Intrusion
- Environmental, Health & Safety Risks Are Everyone's Risks
- SOS Bomb Squad – Training on Detecting and Reporting Suspicious or Explosive Devices
- Fraud Investigation: Active Listening, Non-verbal Communication and Interviews Skills Needed When Conducting an Investigation
- Safeguarding Personally Identifiable Information to Avoid Identity Theft
- Cyber Security
- Proper Record Retention and Destruction for Official State Records
- Consumer Privacy, Safeguarding of Personal Information, and Identity Theft Prevention – What You Need to Know
- Epay and/or Remote Deposit Capture

### COURSE LEVEL

- External / Internal Audit Staff;
- External / Internal Audit Supervisors;
- External / Internal Audit Managers; and
- Chief Internal Auditors.

(No advanced preparation required.)

**LOCATION:** Northfield Inn, Suites & Conference Center

### MAXIMUM CPE OFFERED:

- 17.0 Hours for attending the 2016 SIAAB Fall Government Conference
- 4.0 Hours for attending the optional Springfield IIA Chapter half-day session on October 27, 2016

### COURSE FEE:

- \$95.00 for the 2016 SIAAB Fall Government Conference
- \$40.00 (Member fee) for the optional Springfield IIA Chapter half-day session on October 27, 2016



## October 25, 2016 Agenda

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- 08:00 a.m. – 08:30 a.m.      REGISTRATION / BREAKFAST / SIGN-IN
- 08:30 a.m. – 08:35 a.m.      OPENING REMARKS  
                                 Steven Kirk, CIA, CGAP  
                                 SIAAB Chairman  
                                 Chief Internal Auditor, Illinois Department of Transportation
- 08:35 a.m. – 09:35 a.m.      Conducting General Security Assessments and How to  
                                 Secure Your Facility Against Intrusion  
                                 TBA
- 09:35 a.m. – 9:50 a.m.      BREAK
- 9:50 a.m. – 10:50 a.m.      Environmental, Health & Safety Risks Are Everyone's Risks  
                                 Tammy Wyche, IIA
- 10:50 a.m. – 10:55 a.m.      SHORT BREAK
- 10:55 a.m. – 12:00 p.m.      SOS Bomb Squad – Training on Detecting and Reporting  
                                 Suspicious or Explosive Devices  
                                 Rick Beaty
- 12:00 p.m. – 01:00 p.m.      LUNCH AND ANNOUCEMENTS
- 01:00 p.m. – 03:00 p.m.      Fraud Investigation: Active Listening, Non-verbal  
                                 Communication and Interviews Skills Needed When  
                                 Conducting an Investigation  
                                 Shondra Johnson
- 03:00 p.m. – 03:20 p.m.      BREAK
- 03:20 p.m. – 04:20 p.m.      Safeguarding Personally Identifiable Information to Avoid  
                                 Identity Theft  
                                 Matt Van Hise
- 04:30 p.m.                      WRAP-UP AND ADJOURNMENT

## October 26, 2016 Agenda

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07:45 a.m. – 08:25 a.m.	REGISTRATION / BREAKFAST / SIGN-IN
08:25 a.m. – 08:30 a.m.	OPENING REMARKS Steven Kirk, CIA, CGAP SIAAB Chairman Chief Internal Auditor, Illinois Department of Transportat
08:30 a.m. – 09:30 a.m.	Cyber Security Kirk Lonbom
09:30 a.m. – 09:35 a.m.	SHORT BREAK
09:35 a.m. – 10:30 a.m.	Proper Record Retention and Destruction for Official State Records Dave Joens, SOS State Archives
10:35 a.m. – 10:50 a.m.	BREAK
10:50 a.m. – 11:50 p.m.	Office of the Auditor General – Overview and Audit Related Matters Frank Mautino, Auditor General
11:50 a.m. – 12:50 p.m.	LUNCH AND ANNOUNCEMENTS
12:50 p.m. – 01:50 p.m.	Cyber Security Ron Hulshizer, BKD
12:50 p.m. – 01:50 p.m.	University Auditors' Roundtable Discussion Julie Zemaitis, CPA, Executive Director of University Audits, University of Illinois – <i>Facilitator</i>
01:50 p.m. – 02:10 p.m.	BREAK
02:10 p.m. – 03:10 p.m.	Cyber Security ( <i>continued</i> )
02:10 p.m. – 03:10 p.m.	University Auditors' Roundtable Discussion ( <i>continued</i> )
03:10 p.m. – 03:15 p.m.	SHORT BREAK
03:15 p.m. – 04:15 p.m.	Epay Jaleesa Davis
03:15 p.m. – 04:15 p.m.	University Auditors' Roundtable Discussion ( <i>continued</i> )
04:15 p.m. – 04:20 p.m.	EVALUATION, WRAP-UP, AND ADJOURNMENT

## October 27, 2016 Agenda

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|-------------------------|---|
| 07:45 a.m. – 08:30 a.m. | REGISTRATION / BREAKFAST / SIGN-IN  |
| 08:30 a.m. – 10:00 a.m. | Chief Internal Auditors' Roundtable Discussion<br>Stephen Kirk, Facilitator             |
| 08:30 a.m. – 10:00 a.m. | IT Auditors' Roundtable Discussion<br>Jack Rakers, Facilitator                          |
| 08:30 a.m. – 10:00 a.m. | Staff Auditors' Roundtable Discussion<br>Scott Blankenship, Facilitator                 |
| 10:00 a.m. – 10:15 a.m. | BREAK   |
| 10:15 a.m. – 11:30 a.m. | Chief Internal Auditors' Roundtable Discussion<br>Stephen Kirk, Facilitator             |
| 10:15 a.m. – 11:30 a.m. | IT Auditors' Roundtable Discussion<br>Jack Rakers, Facilitator                          |
| 10:15 a.m. – 11:30 a.m. | Staff Auditors' Roundtable Discussion<br>Scott Blankenship, Facilitator                 |
| 11:30 a.m. – 12:30 p.m. | LUNCH   |
| 12:30 p.m. – 02:30 p.m. | Springfield IIA Chapter Training Session<br>Scott Blankenship, IIA                      |
| 02:30 p.m. – 02:45 p.m. | BREAK   |
| 02:45 p.m. – 04:15 p.m. | Springfield IIA Chapter Training Session ( <i>continued</i> )<br>Scott Blankenship, IIA |



## 2016 SIAAB Fall Government Auditing Conference

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Tuesday, October 25, 2016, through Thursday, October 27, 2016  
Northfield Inn, Suites & Conference Center

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### REGISTRATION FEES:

**\$95.00** for the 2016 SIAAB Fall Government Auditing Conference, October 25, 2016, October 26, 2016, and the morning of October 27, 2016 (Fee includes Continental Breakfast, Lunch, & Refreshments on October 25 and 26, and Continental Breakfast and box lunch on October 27.)

**\$40.00** (Member fee) for the optional Springfield IIA Chapter half-day training session on October 27, 2016

### CONFERENCE REGISTRATION

**ADVANCED PAYMENT FOR REGISTRATION IS REQUIRED.**

Payment for registrations are accepted in advance by mail or by paying at the door on the day of the training.

#### Make Checks Payable to

THE INSTITUTE OF INTERNAL AUDITORS – SPRINGFIELD  
(FEIN 37-1088763)

#### Please Mail Checks to

The Institute of Internal Auditors – Springfield Chapter  
P.O. Box 205, Springfield, IL 62705

#### Please register on-line at:

SIAAB Fall Conference: <http://www.etches.com/siaab2016conference>

Optional Springfield IIA Chapter Seminar: <http://www.etches.com/oct2016seminar>

### CANCELLATION POLICY

Written notice of cancellations received before **October 19, 2016**, will be fully refunded. Cancellations received from **October 19–October 21, 2016**, will be refunded less a \$25 processing fee. On or after **October 21, 2016**, cancellation refund requests will be considered on a case-by-case basis. Substitution of registrants is allowed.

#### PLEASE NOTE

Registration for this conference **will be closed at midnight, October 19, 2016**. Registrations after the closing date will be added as cancellations are received. If you wish to be placed on a waiting list for this conference, please contact Tracy K. Allen at 217-782-1003 or at [Tracy.Allen@illinoiscomptroller.gov](mailto:Tracy.Allen@illinoiscomptroller.gov)